

COMMENT

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An ionizable lipid toolbox for RNA

delivery

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Recent years have witnessed incredible growth in RNA therapeutics, which has benefited significantly from decades of research on lipid nanoparticles, specifically its key component—the ionizable lipid. This comment discusses the major ionizable lipid types, and provides perspectives for future development.

Need for ionizable lipids

Broadly speaking, ribonucleic acid (RNA) therapeutics include antisense oligonucleotides (ASOs), small interfering RNAs (siRNAs), microRNAs (miRNAs), messenger RNAs (mRNAs), and single-guide RNAs (sgRNAs)-mediated CRISPR-Cas9 system, which can manipulate essentially any gene of interest through distinct modes of action¹. However, RNA therapeutics are susceptible to nucleases and cannot permeate cells due to their large size and negative charge. Delivery of RNAs to target cells by clinically translatable lipid nanoparticles (LNPs) provides vast opportunities to tackle a series of life-threatening diseases including COVID-19². LNPs typically consist of four components-ionizable lipid, phospholipid, cholesterol, and PEGylated lipid, among which, the ionizable lipid plays a major role in protecting RNAs and facilitating their cytosolic transport. Ionizable lipids are positively charged at acidic pH to condense RNAs into LNPs, but are neutral at physiological pH to minimize toxicity. They can be protonated in the acidic endosome after cellular uptake, and interact with anionic endosomal phospholipids to form cone-shaped ion pairs that are not compatible with a bilayer (Fig. 1). These cationicanionic lipid pairs drive the transition from the bilayer structure to the inverted hexagonal H_{II} phase, which facilitates membrane fusion/disruption, endosomal escape and cargo release into the cytosol³. Since 2008, ionizable lipids with diverse chemical identities have been created. Systematic categorization of these lipids based on their structures can greatly benefit the field and facilitate the development of next-generation ionizable lipids. Currently, there are five major ionizable lipid types that are widely used for RNA delivery (Fig. 1).

Unsaturated ionizable lipids

Tail saturation greatly influences the fluidity and delivery efficiency of ionizable lipids. Increasing the tail unsaturation from 0 to 2 cis double bonds correlates with an increased tendency for bilayer lipids to form a nonbilayer phase4, leading to enhanced membrane disruption and



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Fig. 1 Mechanism for endosome disruption by ionizable lipids and five major structural classes of ionizable lipids. The cone-shaped ion pairs formed by anionic endosomal phospholipids and protonated ionizable lipids can disrupt the bilayer structure to promote endosomal escape. Based on their structural properties, RNA-delivering ionizable lipids can be categorized into unsaturated (containing unsaturated bond), multi-tail (containing more than two tails), polymeric (containing polymer or dendrimer), biodegradable (containing biodegradable bond) and branched-tail (containing branched tail) ones.

payload release. For this reason, the linoleyl tail was chosen as the structural basis during the optimization process of the ionizable lipid **DLin-MC3-DMA** (**MC3**)^{3,5}. This ionizable lipid confers robust hepatic gene silencing and is used in the first FDA-approved siRNA drug Onpattro[®] for the treatment of hereditary transthyretin amyloidosis (hATTR)⁶. Importantly, both the structure and formulation of **MC3** laid the groundwork for further LNP development.

Unsaturated ionizable lipids have also been demonstrated to enhance mRNA delivery. Anderson and colleagues showed a linoleic acid-derived ionizable lipid (**OF-02**) achieved the highest hepatic mRNA delivery and protein expression among its class⁷. Later, they demonstrated that an alkyne ionizable lipid (**A6**) could greatly increase membrane fusion and facilitate albuminmediated mRNA delivery when incorporated into benchmark LNPs (e.g., **MC3**)⁸. Interestingly, in a heterocyclic ionizable lipid library prepared by a three-component reaction, they identified an alkylene ketone-derived **A18-Iso5-2DC18** that could not only deliver mRNA vaccines robustly but also activate the stimulator of interferon genes (STING) pathway⁹. However, the inclusion of unsaturated bonds in the ionizable lipid does not always correspond with potent in vivo RNA delivery^{7,8,10}, indicating that both rational design and screening are necessary.

Multi-tail ionizable lipids

Multi-tail ionizable lipids are structurally distinguished from two-tail ones by having three or more tails. Such ionizable lipids are expected to produce a more cone-shaped structure with enhanced endosome-disrupting ability due to the increased crosssection of the tail region. Multi-tail ionizable lipids can be easily synthesized by combinatorial chemistry and subjected to highthroughput screening. Since the first combinatorial library created in 2008, several multi-tailed leads (e.g., **98N**₁₂-**5**, **C12-200**, and **cKK-E12**) have been identified to potently knockdown hepatic genes^{11–13}.

Although initially developed for siRNA delivery, multi-tail ionizable lipids can be repurposed for efficient mRNA delivery by optimizing the LNP composition via design of experiment methodologies with significantly reduced workload^{14,15}. For example, the optimized formulation of C12-200 increased mRNA expression 7-fold compared to the standard formulation¹⁴. Later, this formulation was widely adopted by multi-tail ionizable lipids for various mRNA delivery purposes such as chimeric antigen receptor T-cell engineering and prenatal protein replacement therapy^{16,17}. Recently, a class of multi-tail ionizable phospholipids was created; the top-performing 9A1P9, comprising one zwitterionic head and three tails, assisted to promote membrane destabilization and cargo release, which greatly enhanced LNPmediated tissue-selective mRNA delivery and gene editing¹⁸. Nevertheless, lead multi-tail ionizable lipids often have stable backbones and low degradability, so their toxicity and immunogenicity should always be taken into consideration¹⁹.

Ionizable polymer-lipids

Substitution of free amines on cationic polymers with alkyl tails affords ionizable polymer-lipids, which can enhance particle formation through hydrophobic aggregation. From 500 structurally diverse synthetic polymer-lipid hybrids, Anderson et al. identified that C15 epoxide-modified low-molecular-weight polyethyleneimine (7C1) conferred the most efficient non-liver siRNA delivery²⁰. 7C1 preferentially transfected endothelial cells -rather than hepatocytes and pulmonary epithelial cells-in multiple organs, with the highest endothelial gene silencing in the lung. In non-human primates, ~80% endothelial gene knockdown in the lungs was achieved by 7C1 without significant toxicity²¹, indicating its potential to treat dysfunctional endothelium-related diseases. Recently, its re-optimized formulation was further demonstrated to achieve potent gene silencing in bone marrow endothelial cells, which could further regulate hematopoietic cell activity²².

Additionally, lead ionizable polymer-lipids derived from poly(amido amine) and poly(propylenimine) dendrimers have also shown strong avidity to transfect liver endothelium and lung vasculature^{23,24}, implying preferential transfection of endothelium for polycation-based ionizable lipids. However, such cell population tropism can be varied depending on the LNP formulation^{23,25}. For example, when co-formulated with other accessory excipients, **G0-C14** confers high accumulation and effective transfection of various RNA therapeutics in tumors^{26–29}, demonstrating the promise of LNPs for cancer therapy. Nevertheless, ionizable polymer-lipids, even after purification, usually comprise a mixture of different substitution compounds^{20,23}, which increase their complexity. Moreover, the toxic polycation core and non-degradable backbone pose extra hurdles for clinical translation.

Biodegradable ionizable lipids

In order to reduce accumulation and potential side effects, ionizable lipids should be readily degraded into non-toxic metabolites after successful intracellular cargo delivery, which is especially crucial for RNA therapeutics requiring repeated dosing. A common strategy to introduce biodegradability into ionizable lipids is through the inclusion of ester bonds that are stable at physiological pH, but are enzymatically hydrolyzed within tissues and cells. For example, due to the slow degradability of the dilinoleyl tail in **MC3**, a biodegradable substitution (**L319**) was created by replacing one of the double bonds in each tail with a primary ester³⁰. **L319** not only maintained in vivo potency, but also displayed rapid elimination and improved tolerability.

Notably, the position and steric effect of the ester groups can greatly affect ionizable lipid clearance and potency^{30,31}.

Alternative to rational design, diversely structured biodegradable ionizable lipids can be combinatorially synthesized using alkyl amines and acrylate tails^{11,32}. The analysis of structure-activity relationships indicated that acrylate-based ionizable lipids with tertiary amines, at least three O_{13} tails, and a suitable pK_a (5.5–7.0) confer robust in vivo gene silencing³². The lead ionizable lipid (304O₁₃) had similar potency to the non-degradable C12-200 benchmark, but posed much lower toxicity at high doses. It is noteworthy that ester-containing ionizable lipids typically demonstrate lower potency compared to non-degradable analogs due to inefficient delivery caused by rapid hydrolysis^{3,11}. This suggests an activity-degradability tradeoff that needs to be balanced to maximize total benefits. One viable approach to overcome this dilemma is adopting the more stable secondary ester instead of the primary one³¹. Interestingly, OF-Deg-Lin, a degradable analog of OF-02, selectively transfected splenic lymphocytes and induced >85% of total protein expression in the spleen (an organ with a relative lowabundance of hydrolases)³³, suggesting that degradable ionizable lipids could be promising vectors for spleen transfection.

To further improve biodegradability and accelerate RNA release, disulfide bonds that are sensitive to the reductive intracellular environment can be incorporated into acrylate tails³⁴. The resulting bioreducible ionizable lipids have been shown to efficiently deliver ASOs and CRISPR/Cas9 systems for gene silencing and editing with good tolerability in mice^{35–37}. For example, **306-O12B** outperformed **MC3** in CRISPR-Cas9–based liver genome editing of *Angptl3*, and negligible toxicity or off-target mutagenesis was observed³⁸. Despite the promise of disulfide bond-containing ionizable lipids, the difficulty of synthesis and risk of premature release could limit their applications.

Branched-tail ionizable lipids

Along with tail length and saturation, tail branching can greatly influence ionizable lipid performance. Ionizable lipids with methacrylate tails (a 1C branch near the head) generally showed reduced efficacy compared to acrylate-based ones³⁹. Interestingly, ionizable lipids containing isodecyl acrylate (O_{i10}, a 1C branch at the end) dramatically boosted hepatic mRNA expression (>10-fold) compared to their isomers with linear tails, despite their similar biodistribution⁴⁰. This increased potency can be explained by enhanced endosomal escape due to the stronger protonation of spaced ionizable lipids at endosomal pH and the increased crosssection of the lipid tails, allowing for adoption of a more cone-shaped structure.⁴¹ The lead ionizable lipid (**306O**_{i10}) efficiently co-delivered multiple RNA constructs to the liver and transfected >80% of hepatocytes, Kupffer and endothelial cells, demonstrating the potential for integrating different therapeutic modalities (e.g., gene silencing, expression, and editing) to treat liver dysfunction⁴

Recently, Dong and coworkers developed ionizable lipids with branched ester chains that had higher transfection efficiency in the liver than their analogs with linear ester chains, presumably due to the slower degradation rate of the secondary ester^{10,31}. Their topperforming ionizable lipid (FTT5) demonstrated efficient delivery of large mRNA constructs for protein supplementation and base editing therapies. Notably, increased tail branching is one of the major features investigated by LNP companies³¹.

Clinical development of ionizable lipids

Before the approval of Onpattro[®] in 2018, scientists have screened numerous ionizable lipids and LNP formulations for more than a decade. The success of **MC3** has re-ignited the enthusiasm for RNA delivery and greatly accelerated the clinical development of other LNP-based RNA therapeutics, particularly mRNA vaccines

Ionizable lipid	Structure	RNA Payload	Application	Clinical trial (Phase)	Product	Company
SM-102		Nucleoside modified spike mRNA	COVID-19 vaccine (i.m.)	Emergency use authorized in 2020	mRNA-1273	Moderna
Acuitas ALC-0315	HO~~~~~O~~~~~O~~~~~~~~~~~~~~~~~~~~~~~~	Nucleoside modified spike mRNA	COVID-19 vaccine (i.m.)	Approved in 2021	BNT162b2	BioNTech/ Pfizer
Acuitas ionizable lipid (potentially ALC-0315)	HO V V V V V V V V V V V V V V V V V V V	Unmodified spike mRNA	COVID-19 vaccine (i.m.)	NCT04860 258 (III)	CVnCoV	CureVac
Acuitas ionizable lipid (potentially A9)	, Martin Ma Artin Martin Ma	Self-amplifying spike mRNA	COVID-19 vaccine (i.m.)	EudraCT 2020-00164 6-20 (I)	LNP-nCo VsaRNA	Imperial College
Arcturus ionizable lipid (potentially Lipid 2,2 (8,8) 4C CH3)	~µ~~~sy ⁿ ~l _o ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Self-amplifying spike mRNA	COVID-19 vaccine (i.m.)	NCT0472 834 (II)	LUNAR- COV19 (ARCT-021)	Arcturus
Genevant ionizable lipid (potentially CL1)	North of the second sec	Nucleoside modified spike mRNA	COVID-19 vaccine (i.m.)	NCT04566 276(I)	ChulaCov19	Chulalong korn Univeristy
LP000001 (potentially LP01)	month of the second sec	Cas9 mRNA &TTR sgRNA	TTR knockout (i.v.)	NCT04601 051 (I)	NTLA-2001	Intellia
MC3)M0	TTR siRNA	TTR knockdown (i.v.)	Approved in 2018	Onpattro	Alnylam

Fig. 2 Selected ionizable lipids under clinical development for COVID-19 mRNA vaccines and other RNA therapeutics. Ionizable lipids used in on-going clinical trials have not been publicly disclosed, so one of the possible structures (Acuitas A9⁴⁶, Arcturus Lipid 2,2 (8,8) 4C CH3⁴⁷, Genevant CL1⁴⁸ and LPO1^{49,50}) is shown, respectively. i.v. intravenous, i.m. intramuscular.

(Fig. 2). Specifically, the COVID-19 pandemic has caused millions of deaths. However, in less than one year, two LNP-based mRNA vaccines (mRNA-1273 and BNT162b2) underwent unprecedented speed of development and received the historic approval for emergency use after demonstrating protection efficacies above 94%^{43,44}. Interestingly, Moderna's **SM-102** and BioNTech's Acuitas **ALC-0315** have some shared features, including a tertiary amine, branched tails and ester linkers. Moreover, both of them bear extended aliphatic branches, making them appear like multi-tail structures. Currently, additional COVID-19 mRNA vaccines delivered by LNPs are undergoing clinical development. Although these ionizable lipid structures have not been publicly disclosed, the probable ones are shown based on available patents and literature (Fig. 2).

Recently, positive results from the first human trial of LNPenabled CRISPR-Cas9 in vivo gene editing were disclosed⁴⁵. A single dose of **LP000001**-formulated LNPs carrying sgRNA targeting transthyretin (TTR) and Cas9 mRNA led to a 87% reduction of serum TTR in patients with hATTR, and only few or mild adverse events were observed. This study heralds an era for LNP-mediated in vivo gene editing.

When reviewing the approved MC3, SM-102, and ALC-0315 structures as well as those patented by Acuitas et al.^{46–48,50}, the ester-based biodegradable structure appears in every ionizable lipid; the next most commonly shared features are the multi/ branched-tail and unsaturated structures. This suggests that biodegradability is a key feature for the clinical translation of ionizable lipids. Additionally, increased branching or tail number is a common characteristic for newly developed ionizable lipids. However, these multi/branched-tail ionizable lipids typically possess only one tertiary amine, which is a sharp contrast to those discussed in Fig. 1. Interestingly, while the unsaturated structure is important to ionizable lipids with long tails, it appears less important for those with multiple short tails.

Challenges and future directions

Despite the FDA approval of ionizable lipids for RNA delivery applications, several challenges need to be addressed in order to reach the full potential of RNA therapeutics. First, the ionizable lipid is the key LNP component to cause acute immune responses and long-term toxicity. Although degradable ionizable lipids can be used to mitigate this issue, premedication with glucocorticoids and anti-histamines before LNP infusion is still needed⁴⁵. To overcome this issue, optimization of linker chemistry and inclusion of anti-inflammatory properties warrant further investigation^{31,51}.

Second, the preparation of rationally designed ionizable lipid candidates is challenging due to the laborious synthesis process. For example, four synthetic steps and one week of intensive labor are required to synthesize MC3, and it is a huge burden to prepare all of its analogs⁵. Currently, clinical ionizable lipids are all synthesized by multiple steps, which results in scalable manufacturing challenges. This issue can be mitigated by adopting combinatorial chemistry for simplified synthesis and accelerated ionizable lipid screening. However, top-performing ionizable lipids synthesized using this method usually contain stable backbones and multiple tertiary amines, leading to slow degradation and potential toxicity. Therefore, there is an urgent need to develop new combinatorial reactions that can generate degradable ionizable lipids for potent RNA delivery. Meanwhile, introducing additional functional modalities (e.g., STING activation) into these lipids would be of great value for specific RNA therapeutic and vaccine applications9.

Third, ionizable lipid-mediated extrahepatic delivery is another challenge to the broad application of RNA therapeutics. After systemic administration, neutral LNPs mainly localize in the liver regardless of the ionizable lipid⁵². While most ester-based ionizable lipids are reported to mainly transfect the liver, **OF-Deg-Lin** exhibits predominant splenic transfection³³. Further disclosure of the relationships between structure and organ selectivity would greatly benefit extrahepatic RNA delivery. Besides passive targeting of extrahepatic tissue, rationally designed ionizable lipids with targeting ability can be promising for improving non-liver delivery. For example, a neurotransmitter-derived ionizable lipid has been shown to retain its active transporting ability to cross blood–brain barrier (BBB), allowing previously BBB-impermeable LNPs to successfully deliver ASOs into the brain⁵³.

In summary, based on current research progress and clinical status, degradable backbones and increased branching/tails are two of the most favorable structural properties for the future development of ionizable lipids. Apart from safety and potency, next-generation ionizable lipids with additional functionalities such as targeting and immunomodulation will be important for specific applications. Therefore, there are still vast opportunities for ionizable lipid optimization and innovation to enable the broader translation of RNA therapeutics and vaccines.

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Author contributions

X.H., H.Z., and M.J.M. contributed to the conceptualization of the manuscript. X.H., H.Z., K.B., K.L.S., M.-G.A., D.W., and M.J.M. contributed to the scientific discussion and writing of the paper. X.H. and K.B. designed the figures.

Competing interests

The authors declare no competing interests.

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