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# Amniotic fluid stabilized lipid nanoparticles for *in utero* intra-amniotic mRNA delivery

Kelsey L. Swingle <sup>a</sup>, Margaret M. Billingsley <sup>a</sup>, Sourav K. Bose <sup>b</sup>, Brandon White <sup>b</sup>, Rohan Palanki <sup>a,b</sup>, Apeksha Dave <sup>b</sup>, Savan K. Patel <sup>a</sup>, Ningqiang Gong <sup>a</sup>, Alex G. Hamilton <sup>a</sup>, Mohamad-Gabriel Alameh <sup>c</sup>, Drew Weissman <sup>c</sup>, William H. Peranteau <sup>b,\*</sup>, Michael J. Mitchell <sup>a,d,e,f,g,\*\*</sup>

<sup>a</sup> Department of Bioengineering, University of Pennsylvania, Philadelphia, PA 19104, USA

<sup>b</sup> The Center for Fetal Research, Division of Pediatric General, Thoracic, and Fetal Surgery, The Children's Hospital of Philadelphia, Philadelphia, PA 19104, USA

<sup>c</sup> Department of Medicine, University of Pennsylvania, Philadelphia, PA 19104, USA

<sup>d</sup> Abramson Cancer Center, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA 19104, USA

<sup>e</sup> Institute for Immunology, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA 19104, USA

f Cardiovascular Institute, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA 19104, USA

g Institute for Regenerative Medicine, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA 19104, USA

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#### ABSTRACT

Congenital disorders resulting in pathological protein deficiencies are most often treated postnatally with protein or enzyme replacement therapies. However, treatment of these disorders in utero before irreversible disease onset could significantly minimize disease burden, morbidity, and mortality. One possible strategy for the prenatal treatment of congenital disorders is in utero delivery of messenger RNA (mRNA). mRNA is a nucleic acid therapeutic that has previously been investigated as a platform for protein replacement therapies and gene editing technologies. While viral vectors have been explored to induce intracellular expression of mRNA, they are limited in their clinical application due to risks associated with immunogenicity and genomic integration. As an alternative to viral vectors, safe and efficient in utero mRNA delivery can be achieved using ionizable lipid nanoparticles (LNPs). While LNPs have demonstrated potent in vivo mRNA delivery to the liver following intravenous administration, intra-amniotic delivery has the potential to deliver mRNA to cells and tissues beyond those in the liver, such as in the skin, lung, and digestive tract. However, LNP stability in fetal amniotic fluid and how this stability affects mRNA delivery has not been previously investigated. Here, we engineered a library of LNPs using orthogonal design of experiments (DOE) to evaluate how LNP structure affects their stability in amniotic fluid ex utero and whether a lead candidate identified from these stability measurements enables intraamniotic mRNA delivery in utero. We used a combination of techniques including dynamic light scattering (DLS), transmission electron microscopy (TEM), and chromatography followed by protein content quantification to screen LNP stability in amniotic fluids. These results identified multiple lead LNP formulations that are highly stable in amniotic fluids ranging from small animals to humans, including mouse, sheep, pig, and human amniotic fluid samples. We then demonstrate that stable LNPs from the ex utero screen in mouse amniotic fluid enabled potent mRNA delivery in primary fetal lung fibroblasts and in utero following intra-amniotic injection in a murine model. This exploration of ex utero stability in amniotic fluids demonstrates a means by which to identify novel LNP formulations for prenatal treatment of congenital disorders via in utero mRNA delivery.

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<sup>\*</sup> Correspondence to: W.H. Peranteau, Center for Fetal Research, Division of Pediatric General, Thoracic, and Fetal Surgery, The Children's Hospital of Philadelphia, Abramson Research Center, 3615 Civic Center Boulevard, Room 1116E, Philadelphia, PA 19104, USA.

<sup>\*\*</sup> Correspondence to: M.J. Mitchell, Department of Bioengineering, University of Pennsylvania, 210 South 33rd Street, 240 Skirkanich Hall, Philadelphia, PA 19104, USA.

E-mail addresses: peranteauw@emaol.chop.edu (W.H. Peranteau), mjmitch@seas.upenn.edu (M.J. Mitchell).

#### 1. Introduction

Recent advances in prenatal care and genetic medicine have led to improvements in fetal diagnostics including fetal whole exome sequencing and non-invasive fetal genetic testing via detection of cellfree fetal DNA in maternal serum [1-3]. This progress has enabled the prenatal diagnosis of many genetic diseases such as  $\beta$ -thalassemia, cystic fibrosis, and glycogen storage disorders [4-6]. Although many genetic diseases can be treated after birth, postnatal treatments have limited efficacy in diseases where the onset of irreversible pathology begins in utero. As an alternative, prenatal gene therapies including protein replacement and gene editing therapeutics allow for the treatment of congenital disorders prior to or in the early stages of pathology to reduce disease burden, morbidity, and mortality [7,8]. Additionally, there are a number of ontological properties of the developing fetus that result in practical and therapeutic advantages for prenatal gene therapy. First, the small size of the fetus allows for maximum dosing per fetal weight, therefore minimizing the challenges associated with the large-scale manufacturing of gene therapies [9,10]. Additionally, progenitor cells, which are an ideal target for genetic correction, are more abundant and accessible in utero, and physical barriers to delivery such as mucus membranes and the glycocalyx are less developed in the fetus [5,6]. With these notable advantages, some congenital diseases that are currently treated postnatally with protein or enzyme replacement therapeutics may be ideal candidates for prenatal gene therapy [11,12].

One factor that is critical to the delivery of gene therapies both before and after birth is the route of administration. Multiple studies in small and large animal models have demonstrated the ability to target a number of different fetal organs by administering viral vectors via different injection routes [5,13-17]. First, intravenous injection of adenoviruses and adeno-associated viruses (AAVs) via the vitelline vein has demonstrated robust targeting to the fetal liver [13]. Intramuscular injection to the fetal hindlimb resulted in efficient delivery of AAVs to the skeletal muscle [14], while intra-amniotic delivery of lentiviral vectors has been shown to target stem cells of a number of different organs in the developing fetus depending on the gestational age at which the vector was delivered [15,16]. For example, late gestation intraamniotic injection of viral vectors has been shown to target the fetal lungs and gastrointestinal tract by taking advantage of normal fetal breathing and swallowing movements [5,17]. Alternatively, in large animal models, fetal intra-tracheal injections can also directly target the lungs while avoiding technical difficulties that exist in small animal models and minimizing the dilutional effect of the large amniotic fluid volume on the therapeutic cargo [18].

Prenatal protein and enzyme replacement gene therapies can be administered via various delivery strategies. One option is the direct administration of whole proteins in utero, but these therapeutics are limited by the in vitro synthesis of proteins with the correct posttranslational modifications [11]. This challenge can be overcome by viral or non-viral mediated delivery of nucleic acids which are instead translated endogenously in the host. Viral vectors for the delivery of nucleic acids have shown promise in prenatal applications [5,13,19], but pose risks associated with genomic integration [20,21]. Additional challenges of viral vectors such as immunogenicity and limitations regarding repeat dosing can be addressed with non-viral nucleic acid delivery [20,22]. Non-viral nucleic acid delivery includes the administration of therapeutic messenger RNA (mRNA) which initiates transient protein expression in the cytosol and therefore avoids nuclear transport and the risk of genomic integration [11,20]. However, mRNA faces similar delivery challenges in utero as it does in adults, including rapid degradation by nucleases present in the body and inefficient transport across the cell membrane due to its large size and negative charge [23]. These challenges have limited the broad clinical use of mRNA therapeutics and necessitate the development of delivery technologies for in vivo mRNA delivery [20,23].

of mRNA in vivo such as polymeric and lipid-based nanoparticle (NP) systems [20,22,24]. One polymeric system, specifically poly(lactic-coglycolic acid) (PLGA) NPs, has shown efficient delivery of gene editing nucleic acids to fetal hematopoietic stem cells for the prenatal treatment of  $\beta$ -thalassemia [6]. However, other polymeric NPs for gene delivery that use highly cationic molecules such as polyethyleneimine (PEI) have been found to be highly toxic, therefore limiting their clinical application [22,25]. Instead, ionizable lipid nanoparticle (LNP) platforms can be used for the therapeutic delivery of mRNA, and they are more clinically advanced than polymeric systems following the recent Food and Drug Administration (FDA) approval of Alnylam's Onpattro siRNA LNP therapeutic [22,26] and emergency use authorization of Moderna and Pfizer/BioNTech's mRNA vaccines against COVID-19 [27,28]. LNPs benefit from high nucleic acid encapsulation efficiencies and small sizes (<100 nm) making them ideal vectors for *in utero* intracellular delivery [29]. Additionally, LNPs contain an ionizable lipid component that remains uncharged at neutral pH, but after cellular uptake, becomes charged in the acidic endosomal environment allowing for enhanced endosomal escape and potent intracellular mRNA delivery [24,30–32]. Another advantage of LNPs is their modular design; the excipients and their respective molar ratios, the ionizable lipid, and the lipid to nucleic acid ratio can all be individually optimized to improve biodistribution and intracellular delivery for a particular application [33-36]. As LNP technology advances and the number of possible formulations continues to grow, there is a need for assays to evaluate and predict LNP performance for in vivo and in utero applications.

Recent work has demonstrated the substantial effect of the biological environment on NP stability, biodistribution, and delivery [37–39], yet these works have primarily focused on the effect of blood, serum, and simulated interstitial fluid. Fetal amniotic fluid, the biological environment for intra-amniotically administered *in utero* gene therapies, is a protein-rich environment similar to serum and is likely to influence LNP stability and delivery. However to our knowledge, the effects of amniotic fluids on LNP stability have not been previously investigated. Therefore, we hypothesized that evaluating LNP stability in amniotic fluid could identify novel LNPs that are well-suited for prenatal gene therapies. To this end, we sought to explore the stability of a library of LNPs in mouse, sheep, pig, and human amniotic fluids, and determine if these measures of stability correlate with *in vitro* and *in utero* mRNA delivery.

Here, we orthogonally designed a library of 16 ionizable LNPs with varying excipient molar ratios and developed a minimal resource ex vivo stability assay using dynamic light scattering (DLS). Orthogonal design of experiments (DOE) was used to screen a space of 256 possible LNP formulations by combining four molar ratios of each of four excipients (ionizable lipid, DOPE, cholesterol, and lipid-PEG) with 16 formulations. We explored the stability of this library in a group of amniotic fluids and identified stable and unstable LNPs in each of the fluids tested. Next, the LNP library was screened for luciferase mRNA delivery in vitro. These results demonstrated correlations between ex vivo stability in mouse amniotic fluid and in vitro luciferase mRNA delivery. We then tested a stable and unstable LNP formulation identified from the screening assay for intra-amniotic fetal delivery in mice, which showed that the more stable LNP formulation exhibited greater in utero mRNA delivery than the unstable LNP formulation. Finally, we demonstrate the structure function relationships of LNP excipients on ex utero amniotic fluid stability and in vitro mRNA delivery. Taken together, we have explored ex utero LNP stability in amniotic fluids as a means to identify LNP formulations for prenatal mRNA delivery with potential applications in treating congenital diseases.

#### 2. Materials and methods

#### 2.1. Ionizable lipid synthesis and mRNA production

Numerous delivery platforms have been investigated for the delivery

The ionizable lipids B-4 and C12-200 were prepared via Michael

addition chemistry as previously described [40]. Briefly, each polyamine core (Enamine Inc., Monmouth Junction, NJ) was combined with excess lipid epoxide (Sigma-Aldrich, St. Louis, MO) in a 4 mL glass scintillation vial containing ethanol under gentle stirring with a magnetic stir bar for 2 days at 80 °C. The reaction mixture was dried using a Rotovap R-300 (Buchi, New Castle, DE) and used for LNP formulation.

The firefly luciferase gene sequence was codon optimized, synthetized, and cloned into our proprietary mRNA production plasmid. The m1 $\Psi$  UTP nucleoside modified Fluc mRNA was co-transcriptionally capped using the trinucleotide cap1 analogue (TriLink, San Diego, CA), and engineered to contain a 101 nucleotide-long poly(A) tail. Transcription was performed using MegaScript T7 RNA polymerase (Invitrogen, Waltham, MA) and mRNA was precipitated using lithium chloride and purified by cellulose chromatography as previously described [41]. The produced mRNAs were analyzed by agarose gel electrophoresis, sequenced, subjected to a standard J2 dot blot, assayed for INF induction in human monocyte derived dendritic cells, and stored frozen at -80 °C for future use.

#### 2.2. LNP formulation

LNPs were formulated using a 10:1 weight ratio of ionizable lipid B-4 to luciferase mRNA [40]. First, ionizable lipid B-4 was combined in an ethanol phase with cholesterol (Sigma-Aldrich), 1,2-dioleoyl-sn-glycero-3-phosphoethanolamine (DOPE, Avanti, Alabaster, AL), and 1,2dimyristoyl-sn-glycero-3-phosphoethanolamine-N-[methoxy(polyethylene glycol)-2000] (ammonium salt) (C14-PEG2000, Avanti) at varying molar ratios (Table 1) to a total volume of 112.5 µL. A separate aqueous phase was prepared with 25 µg of luciferase mRNA in 10 mM citrate buffer (pH = 3) to a total volume of 337.5  $\mu$ L. With a syringe pump, the ethanol and aqueous phases were combined to form LNPs via chaotic mixing using a microfluidic device designed with herringbone features as previously described [42]. LNPs were dialyzed in cassettes with a molecular weight cuttoff of 20 kDa against  $1 \times PBS$  for 2 h, filtered using a 0.22  $\mu$ m filter, and stored at 4 °C for later use. All materials were prepared and handled ribonuclease-free throughout synthesis, formulation, and characterization steps.

#### 2.3. Dynamic light scattering and zeta potential

For initial dynamic light scattering (DLS) measurements, 10  $\mu$ L of each LNP solution was diluted 100× in 1× PBS in 4 mL disposable cuvettes. For baseline zeta potential measurements, 20  $\mu$ L of each LNP solution was diluted 50× in deionized water in DTS1070 zeta potential cuvettes (Malvern Panalytical, Malvern, UK). Four measurements each

#### Table 1

LNP library formulations including the molar ratio and molar percentage of excipients.

Name	Molar ratios				Molar percentage (%)			
	B-4	DOPE	Chol	PEG	B-4	DOPE	Chol	PEG
A1	15	10	5	0.5	49.18	32.79	16.39	1.64
A2	15	20	20	4.5	25.21	33.61	33.61	7.56
A3	15	30	35	8.5	16.95	33.90	39.55	9.60
A4	15	40	50	12.5	12.77	34.04	42.55	10.64
A5	25	10	20	8.5	39.37	15.75	31.50	13.39
A6	25	20	5	12.5	40.00	32.00	8.00	20.00
A7	25	30	50	0.5	23.70	28.44	47.39	0.47
A8	25	40	35	4.5	23.92	38.28	33.49	4.31
A9	35	10	35	12.5	37.84	10.81	37.84	13.51
A10	35	20	50	8.5	30.84	17.62	44.05	7.49
A11	35	30	5	4.5	46.98	40.27	6.71	6.04
A12	35	40	20	0.5	36.65	41.88	20.94	0.52
A13	45	10	50	4.5	41.10	9.13	45.66	4.11
A14	45	20	35	0.5	44.78	19.90	34.83	0.50
A15	45	30	20	12.5	41.86	27.91	18.60	11.63
A16	45	40	5	8.5	45.69	40.61	5.08	8.63

with at least 10 runs were recorded for each sample using a Zetasizer Nano (Malvern Instruments, Malvern, UK). Data are reported as mean  $\pm$  standard deviation (n = 3 to 4 measurements).

#### 2.4. LNP pKa measurements

Surface ionization measurements to calculate the pK<sub>a</sub> of each LNP formulation were performed as previously described [43]. Briefly, buffered solution containing 150 mM sodium chloride, 20 mM sodium phosphate, 20 mM ammonium acetate, and 25 mM ammonium citrate was adjusted to pH 2 to 12 in increments of 0.5. 125  $\mu$ L of each pH-adjusted solution and 5  $\mu$ L of each LNP formulation were plated in triplicate in black 96-well plates. 2-(*p*-toluidinyl)naphthalene-6-sulfonic acid (TNS) was then added to each well to a final TNS concentration of 6  $\mu$ M. The fluorescence intensity was read on an Infinite 200 Pro plate reader (Tecan, Morrisville, NC) at an excitation wavelength of 322 nm and an emission wavelength of 431 nm. Using least squares linear regression, the pK<sub>a</sub> was taken as the pH corresponding to half-maximum fluorescence intensity, *i.e.*, 50% protonation.

#### 2.5. LNP encapsulation efficiency

mRNA encapsulation efficiency of each LNP formulation was calculated using the Quant-iT-RiboGreen (Thermo Fisher Scientific, Waltham, MA) assay as previously described [44]. Each LNP sample was diluted to approximately 2 ng/ $\mu$ L in two microcentrifuge tubes containing 1× TE buffer or 0.1% (v/v) Triton X-100 (Sigma-Aldrich). LNPs in Triton-X were left to lyse for 20 min. After incubation, LNPs in TE buffer and Triton X-100 as well as mRNA standards were plated in triplicate in black 96-well plates and the fluorescent RiboGreen reagent was added per manufacturer's instructions. Fluorescence intensity was read on an Infinite 200 Pro plate reader (Tecan) at an excitation wavelength of 480 nm and an emission wavelength of 520 nm. RNA content was quantified by comparison to a standard curve estimated using least squares linear regression (LSLR). Encapsulation efficiency was calculated as  $\frac{B-A}{R}$  100 where A is the RNA content in TE buffer and B is the RNA content in Triton X-100. Encapsulation efficiencies are reported as mean  $\pm$  standard deviation (n = 3).

#### 2.6. Animal experiments

All animal use and experimental protocols were approved by the Institutional Animal Care and Use Committees (IACUC) at the Children's Hospital of Philadelphia (CHOP) and the University of Pennsylvania, and followed guidelines set forth in the National Institutes of Health's Guide for the Care and Use of Laboratory Animals. Balb/c (stock #000651) mice were purchased from Jackson Laboratory (Bar Harbor, ME) and housed in the Laboratory Animal Facility of the Colket Translational Research Building at CHOP. Females of breeding age were paired with males and separated at 24 h to achieve time-dated pregnant dams for amniotic fluid collections or *in utero* LNP injections as described below. Time-dated Suffolk ewes were obtained from Mac-Cauley Suffolks (Atglen, PA) and time-dated miniature Yucatan swine were obtained from Sinclair Bio Resources (Auxvasse, MO).

#### 2.7. Fluid collection

For murine serum collections, 8-week-old female C57BL/6 mice (Jackson Laboratory, 18-21 g) were subjected to tail vein blood collections. Blood was centrifuged at 10,000 g for 10 min and the serum supernatant was collected and the cell pellet discarded.

For murine amniotic fluid collections, time-dated Balb/c dams were sacrificed at gestational day 16 (E16), and under sterile conditions a midline laparotomy was performed and the uterine horn was removed. A 27 gauge needle was used to aspirate amniotic fluid from each

individual amniotic sac and amniotic fluid was stored at -80 °C. For one biological replicate, amniotic fluid was pooled from several fetuses; the volume of fluid obtained from the amniotic cavity of a single fetus was approximately 100  $\mu$ L.

For sheep amniotic fluid collection, a time-dated ewe at gestational day 110 (term is approximately 145 days) was anesthetized with 15 mg/ kg of intramuscular ketamine with maintenance of general anesthesia using inhaled isoflurane (2–4% in O<sub>2</sub>) and propofol (0.2 to 1 mg/kg/min). Intraoperative monitoring included pulse oximetry and constant infusion of isotonic saline administered *via* a central venous line placed in a jugular vein. Under sterile conditions, a lower midline laparotomy was performed and the uterus was exposed. A small hysterotomy was then performed and the amniotic fluid was aspirated using a 60 mL syringe and stored at -80 °C until use.

For pig amniotic fluid collection, a time-dated sow at gestational day 100 (term is approximately 114 days) was anesthetized with intramuscular ketamine and acepromazine with maintenance of general anesthesia using inhaled isoflurane and propofol. Intraoperative monitoring included pulse oximetry and constant infusion of isotonic saline administered *via* a central venous line placed in a jugular vein. Under sterile conditions, a midline laparotomy was performed, the uterus was exposed, and amniotic fluid was aspirated using a 60 mL syringe *via* a small hysterotomy.

For human specimens, amniotic fluid was collected from the amniotic cavity of an approximately 24 week gestation fetus undergoing an open fetal surgical procedure. Specifically, at the time of hysterotomy, amniotic fluid was aspirated in a sterile manner in a 60 mL syringe and subsequently stored at -80 °C until use. Amniotic fluid collection was approved by the Children's Hospital of Philadelphia Institutional Review Board (IRB #14–010958).

#### 2.8. Amniotic fluid characterization

To characterize the fluids used in this study, pH and protein concentration of all five fluids (mouse serum, mouse amniotic fluid, sheep amniotic fluid, pig amniotic fluid, and human amniotic fluid) were measured. Three pH measurements of each fluid were recorded using a glass combination microelectrode (Thermo Fisher Scientific). Using a NanoQuant Plate (Tecan), protein concentration was estimated by measuring absorbance at excitation wavelengths of 260 nm and 280 nm on an Infinite 200 Pro plate reader (Tecan). pH values and protein concentrations are reported as mean  $\pm$  standard deviation (n = 3).

#### 2.9. LNP stability in mouse amniotic fluid

DLS was used to assess LNP stability in mouse amniotic fluid based on previously described assessments of nanoparticle behavior in human serum albumin and fetal bovine serum (FBS) [45,46]. Briefly, a range of mouse amniotic fluid percentages were selected – 0%, 25%, 50%, 75%, and 100% (v/v). DLS measurements of the LNP alone and the fluid alone were used for the 0% and 100% fluid percentages, respectively. For all fluid percentages, LNPs were incubated in E16 mouse amniotic fluid for 30 min at 37 °C under gentle agitation at 300 rpm. After 30 min, the entire incubation volume of each sample was diluted  $100 \times$  in PBS and transferred to a cuvette for DLS measurement.

A range of incubation timepoints was also selected -0 min, 5 min, 15 min, 30 min, 60 min, 120 min, and 240 min. For all time points, LNPs were incubated in 50% (v/v) mouse amniotic fluid at 37 °C under gentle agitation at 300 rpm. Following incubation, the LNP and fluid samples were prepared for DLS as described above.

Both experiments were repeated in triplicate using three biological replicates of E16 mouse amniotic fluid. All DLS readings in the present study involved four independent measurements, each the average of 10 runs. The LNP size described throughout this study is the mean peak intensity diameter (nm) of intensity distribution measurements from DLS. Intensity curves are shown as the mean intensity (n = 3 to 4) for

each data point as a function of size (nm). Size and polydispersity index (PDI) are reported as the mean  $\pm$  standard deviation (n = 3 to 4 measurements per biological replicate).

### 2.10. LNP library stability screen in mouse serum and mouse, pig, sheep and human amniotic fluid

All 16 LNP formulations were evaluated in each of five fluids: mouse serum, mouse amniotic fluid, sheep amniotic fluid, pig amniotic fluid, and human amniotic fluid. Due to the precious nature of many of these samples and the reasonable standard deviations of measurements collected with three biological replicates of mouse amniotic fluid (Fig. 2b), only one biological replicate of each fluid was used in the library screen. Following incubation in 50% (v/v) fluid for 30 min at 37 °C with gentle agitation at 300 rpm, the entire incubation volume was diluted  $100 \times$  in  $1 \times$  PBS and transferred to a cuvette for DLS measurement.

Percent change in size and percent change in PDI were calculated from each measurement of the LNP in PBS alone. These percent change measurements were compared by 2-way ANOVA across fluid type and formulation with the Tukey-Kramer correction for multiple comparisons. Hits were identified as LNP and fluid combinations that had significantly (p < a = 0.05) lower percent change in size or percent change in PDI measurements than the same LNP in mouse serum.

An instability parameter was defined to concurrently evaluate the effect of both percent change in size and percent change in PDI on overall LNP stability; it is defined as the mean of the two measurements. LSLR was used to compare mean instability parameter measurements for the LNP library across species for amniotic fluids with goodness of fit quantified by the coefficient of determination  $R^2$ .

### 2.11. TEM and zeta potential characterization of LNPs in mouse amniotic fluid

Transmission electron microscopy (TEM) images were obtained using a JEOL 1010 electron microscope system (Jeol, Tokyo, Japan) operated at 80 kV. LNP samples were deposited on thin carbon films (Ted Pella Inc., Redding, CA) supported by nickel grids and were stained with 2% uranyl acetate (Electron Microscopy Sciences, Hatfield, PA) before observation. For LNP formulations in PBS and with mouse amniotic fluid, the shortest edge to edge diameter of 20 particles was manually measured with ImageJ. The reported diameter is the mean  $\pm$ standard deviation (n = 20).

For zeta potential measurements of LNPs in mouse amniotic fluid, LNPs A12 and A1 were incubated for 30 min at 37 °C with gentle agitation at 300 rpm in six percentages of mouse amniotic fluid – 0%, 10%, 25%, 50%, 75%, and 100% (v/v). Samples were immediately diluted 50× in deionized water and loaded into DTS1070 zeta potential cuvettes (Malvern Panalytical). Zeta potential was measured using a Zetasizer Nano (Malvern Instruments) and measurements are reported as mean  $\pm$  standard deviation (n = 3).

### 2.12. Chromatography and protein quantification of LNPs in mouse amniotic fluid

Based on a previous study [47], the most (A12) and least stable (A1) LNPs from the mouse amniotic fluid stability screen were incubated in mouse amniotic fluid and isolated from unbound fluid proteins *via* a Sepharose CL-6B affinity chromatography column. 32 fractions, each equal and approximately 100  $\mu$ L in volume, were collected following loading of (i) A12 with mouse amniotic fluid, (ii) A1 with mouse amniotic fluid, or (iii) free mouse amniotic fluid. For all three samples, protein concentration was evaluated in each fraction using a NanoQuant Plate (Tecan) and read on an Infinite 200 Pro plate reader (Tecan). Fractions with non-zero protein concentration readings that did not overlap with free mouse amniotic fluid fractions were identified by

plotting protein concentration *versus* fraction number. These identified fractions were pooled and further evaluated for protein content using a micro bicinchoninic acid (BCA) protein assay kit (Thermo Fisher). Pooled fractions from column separation, LNPs A12 and A1 in PBS, and standard curve samples were incubated at a 1:1 ratio of sample to working reagent at 60 °C for 60 min. Following incubation, samples were allowed to cool and plated in triplicate on a 96-well plate. Absorbance at a wavelength of 562 nm was immediately read on an Infinite 200 Pro plate reader (Tecan). The protein concentration was quantified by comparing sample absorbances to a standard curve using LSLR. A paired *t* test was used to determine significant differences in protein concentration between LNPs in PBS and in mouse amniotic fluid. Protein concentrations are reported as mean  $\pm$  standard deviation (n = 3).

#### 2.13. In vitro LNP-mediated luciferase mRNA delivery to HeLa cells

HeLa cells (ATCC no. CCL-2) were cultured in Dulbecco's Modified Eagle's Medium (DMEM) with *L*-glutamine (Thermo Fisher Scientific) supplemented with 10% fetal bovine serum (FBS) (Gibco, Dublin, Ireland) and 1% penicillin-streptomycin (Gibco). Cells were plated at 10,000 cells per well in 100 µL of medium in tissue culture treated 96well plates and were left to adhere overnight. All 16 LNP formulations were incubated in 50% (v/v) mouse amniotic fluid for 30 min at 37 °C under gentle agitation at 300 rpm. LNP formulations pre-incubated in mouse amniotic fluid or LNPs in PBS were used to treat cells at a dose of 50 ng of mRNA per 10,000 cells. As a positive control, the transfection reagent lipofectamine MessengerMAX (Thermo Fisher Scientific) was combined with luciferase mRNA for 10 min as per the manufacturer's protocol and was used to treat cells at a dose of 50 ng of mRNA per 10,000 cells. 24 h after treatment with LNPs or lipofectamine, cells were centrifuged at 300g for 5 min and excess medium was removed. 50 µL of  $1 \times$  lysis buffer (Promega, Madison, WI) followed by 100 µL of luciferase assay substrate (Promega) was added to each well. After 10 min of incubation, luminescence was quantified using an Infinite 200 Pro plate reader (Tecan). The luminescence signal for each condition was normalized by dividing by the luminescence signal of untreated control cells. To evaluate cytotoxicity, additional plates were prepared as described above. After 24 h, 100 µL of CellTiter-Glo (Promega) was added to each well and the luminescence corresponding to ATP production was quantified using a plate reader following 10 min of incubation. Luminescence for each group was normalized by dividing by the luminescence signal of untreated control cells.

Luciferase expression and percent cell viability are reported as mean  $\pm$  standard deviation (n = 3 biological replicates and at least 2 technical replicates per plate). GraphPad Prism's ROUT method [48] with Q = 5% was used to identify outliers across treatment conditions and to subsequently remove them from mean and standard deviation calculations. For both luciferase expression and percent viability, 2-way ANOVA with the Tukey-Kramer correction for multiple comparisons was used to compare means across formulation and treatment condition.

### 2.14. In vitro LNP-mediated luciferase mRNA delivery to primary fetal lung cells

Fetal lung cells were harvested from a single pregnant Balb/c female mouse (stock #000651) that was time-dated at gestational day E16. The pregnant dam was euthanized and a laparotomy was performed to expose the uterine horns. Six fetuses were removed and a dissection microscope was used to perform a thoracotomy and isolate the fetal lung cells. This lung tissue was digested mechanically and filtered through a 100  $\mu$ M cell strainer to isolate cells. These cells were washed with 1× PBS and then cultured in DMEM supplemented with 15% FBS and 1% penicillin-streptomycin. Cells were incubated at 37 °C in a humidified 5% CO<sub>2</sub> atmosphere.

Primary fetal lung cells were plated in a 96-well plate at a density of

20,000 cells per well. Cells were treated with either A4 or A12 LNPs containing luciferase mRNA at doses ranging from 10 to 100 ng per 20,000 cells. Luciferase expression and cell viability experiments were performed as described above. Luciferase expression and percent cell viability are reported as mean  $\pm$  standard deviation (n = 6). Unpaired *t* tests with the Holm-Sídák correction for multiple comparisons was used to evaluate differences in luciferase expression between LNPs A12 and A4 for tested each dose.

#### 2.15. In utero studies

In utero intra-amniotic injections were performed as previously described [5]. Briefly, under isoflurane anesthesia and after providing local anesthetic with 0.25% bupivacaine subcutaneously, a midline laparotomy was performed and the uterine horn was exposed. Under a dissecting microscope, 30  $\mu$ L of PBS or LNPs concentrated to 325 ng/ $\mu$ L was injected into the amniotic cavity of each fetus using a custom made 80  $\mu$ m beveled glass micropipette and an automated microinjector (Narishige IM-400 Electric Microinjector, Narishige International USA Inc., Amityville, NY). After successful injection, the uterus was returned to the peritoneal cavity and the abdomen was closed with a single layer of absorbable 4–0 polyglactin 910 suture. A group size of n = 5 was used for each of the three treatment groups (LNP A12, LNP A4, and PBS injections).

#### 2.16. Luciferase imaging and quantification

We sought to assess luciferase signal in treated fetuses as well as individual fetal organs following in utero intra-amniotic injection of LNPs containing luciferase mRNA using methods previously described [34]. Specifically, mice were imaged 4 h after intra-amniotic injection of LNPs or PBS. Luciferase imaging was performed using an in vivo imaging system (IVIS, PerkinElmer, Waltham, MA). 10 min before sacrifice and imaging, dams were injected intraperitoneally with D-luciferin and potassium salt at 150 mg/kg (Biotium, Fremont, CA). Pregnant dams were then placed supine into the IVIS, and luminescence signal was detected with a 60 s exposure time. Next, a midline laparotomy was performed to expose the uterine horn, and luciferase imaging was repeated. Following imaging of the dam with the uterine horn exposed, fetuses were removed and individually imaged using IVIS with 60 s exposure times. The fetal liver, intestines, lungs, and brain were subsequently removed and imaged by IVIS. Image analysis was conducted using the Living Image software (PerkinElmer). To quantify luminescence flux, a rectangular region of interest (ROI) was placed in an area without any luminescence signal in the same image. Normalized flux was calculated by dividing the total flux from the ROI over the fetus or organ by the total flux from the background ROI. For each treatment group, the ROUT outlier test with Q = 1% was used to identify and remove outliers. Reported fetal and organ bioluminescence represent the mean  $\pm$  standard error of the mean (SEM) (n > 4). The representative organ IVIS images shown are those that have the highest luminescence values for each treatment condition.

#### 3. Results

#### 3.1. LNP library design, formulation, and characterization

To engineer and identify stable LNPs in each amniotic fluid of interest, a library of 16 LNP formulations was designed using an orthogonal design of experiments (DOE) approach. Orthogonal DOE was chosen because it is a well-defined methodology for screening nanoparticles, while minimizing the total number of formulations tested [33,40,49]. Theoretically, 256 combinations are possible when varying four molar ratios of each of four excipients. However, by using orthogonal design, the effects of the four excipients and their four molar ratios can be evaluated using only 16 formulations (Table 1). Therefore, four excipients at varying molar ratios (Fig. 1) were used to formulate LNPs:



**Fig. 1.** Overview of LNP library design, formulation, and *ex utero* screening in amniotic fluids to predict intra-amniotic delivery. A library of 16 LNP formulations was generated using orthogonal design of experiments (DOE) to explore four molar ratios of each of four excipients. Next, each LNP was synthesized by combining an ethanol phase of lipid excipients – including ionizable lipid, DOPE phospholipid, cholesterol, and lipid-PEG – with an aqueous phase containing luciferase mRNA. The two phases were mixed at controlled flow rates in a microfluidic device to form LNPs. Then, LNPs were screened *ex utero* in fetal fluids to identify stable and unstable LNPs for intra-amniotic delivery.

(i) an ionizable lipid, (ii) 1,2-dioleoyl-sn-glycero-3-phosphoethanolamine (DOPE), (iii) cholesterol, and (iv) lipid-anchored polyethylene glycol (lipid-PEG) (Supplementary Fig. 1). The ionizable lipid B-4 was selected based on previously published work from our group demonstrating that LNPs formulated with the B-4 ionizable lipid had the highest fetal lung delivery following vitelline vein injection in gestational age E16 fetuses [34]. Fetal lungs are often one main organ target for intra-amniotic administration of gene therapies [5]. DOPE, cholesterol, and lipid-PEG were selected based on previous work indicating their inclusion in LNPs enables efficient delivery of mRNA in adult mice [50]. The phospholipid DOPE promotes LNP membrane formation and endosomal escape, cholesterol enhances membrane stability, and lipid-PEG limits immune system recognition and rapid clearance [50]. Due to the structural impact of these LNP excipients, we hypothesized that screening a range of molar ratios for each of these lipid excipients would impact ex utero LNP stability in amniotic fluids. The molar ratio ranges for each of these lipid excipients were selected by expanding the ranges used in previous LNP excipient optimization work [33] to create a library with substantial excipient deviations from traditional LNP formulations.

Following library design, all 16 LNPs were formulated using the ionizable lipid B-4. As previously described, the ionizable lipid was synthesized using Michael addition chemistry where the polyamine core was reacted with 14-carbon alkyl tails [40]. B-4 was then mixed in an ethanol phase with the remaining lipid excipients – DOPE, cholesterol,

and lipid-PEG – and combined with an aqueous phase of luciferase mRNA *via* chaotic mixing in a microfluidic device (Fig. 1) [42].

LNPs were characterized by hydrodynamic diameter, polydispersity index (PDI), encapsulation efficiency, pKa, and zeta potential (Table 2). Using intensity measurements from dynamic light scattering (DLS), LNP size ranged from 46 to 153 nm and six out of 16 LNPs had PDIs >0.3. A RiboGreen assay was used to characterize mRNA encapsulation efficiency, and seven out of 16 LNPs had encapsulation efficiencies  $\leq$ 75%. These results indicate that the wide range of molar ratios selected for library design conferred large LNP size, high polydispersity, and low encapsulation efficiency for some formulations. Next, LNPs were characterized by their pK<sub>a</sub>, or the pH at which the LNP is 50% protonated. The pK<sub>a</sub> of an LNP indicates its ability to escape the acidic environment of the endosome following endocytosis [51]. In the endosome, LNPs with  $pK_a$  values <7 will become protonated causing their membrane lipids to fuse with the anionic lipid of the endosome, and release their mRNA cargo into the cytosol [51,52]. Typically, ionizable LNPs with pKa values from 6 to 7 enable potent delivery of nucleic acids [34,43,51,52]. The measured pK<sub>a</sub> values for our 16 LNP library ranged from 6.03 to 6.63 indicating that all LNPs were in the optimal range to enable endosomal escape. Finally, zeta potential measurements ranged from -7.4 to 25 mV, and 13 out of 16 LNPs had neutral to positive zeta potential values as expected due to the cationic nature of the B-4 ionizable lipid and DOPE. Interestingly, a trend between the molar ratio of PEG in the LNP formulations and zeta potential was observed. Namely,

#### Table 2

Characterization of LNP library including size and PDI in PBS, mRNA concentration, encapsulation efficiency,  $pK_{a}$ , and zeta potential.

	Size (nm)	PDI	mRNA (ng/µL)	Encapsulation efficiency (%)	рК <sub>а</sub>	Zeta potential (mV)
A1	137 ± 15	$\begin{array}{c} 0.28 \\ \pm \ 0.03 \end{array}$	$33\pm3$	$75\pm2$	6.63	$25.0 \pm 2.0$
A2	$\begin{array}{c} 105 \\ \pm 7 \end{array}$	$\begin{array}{c} 0.24 \\ \pm \ 0.02 \end{array}$	$31\pm9$	$84\pm 6$	6.17	$-0.01\pm0.8$
A3	$\begin{array}{c} 62 \pm \\ 11 \end{array}$	$\begin{array}{c} 0.37 \\ \pm \ 0.02 \end{array}$	$46\pm11$	$93\pm1$	6.53	$1.5\pm0.3$
A4	$\begin{array}{c} 95 \pm \\ 10 \end{array}$	$\begin{array}{c} 0.28 \\ \pm \ 0.02 \end{array}$	$44\pm26$	$89\pm7$	6.52	$2.4\pm0.5$
A5	$\begin{array}{c} 100 \\ \pm \ 6 \end{array}$	$\begin{array}{c} 0.19 \\ \pm \ 0.03 \end{array}$	$34\pm4$	$53\pm4$	6.57	$-7.4\pm0.6$
A6	$89\pm 3$	$\begin{array}{c} 0.18 \\ \pm \ 0.02 \end{array}$	$14\pm3$	$38\pm9$	6.28	$4.6\pm0.9$
A7	$88 \pm 6$	$\begin{array}{c} 0.20 \\ \pm \ 0.01 \end{array}$	$21\pm0.3$	$93\pm0.2$	6.51	$19.6\pm0.2$
A8	$46 \pm 3$	$\begin{array}{c} 0.61 \\ \pm \ 0.05 \end{array}$	$35\pm3$	$96\pm0.1$	6.48	$15.2\pm0.8$
A9	$\begin{array}{c} 153 \\ \pm \ 6 \end{array}$	$\begin{array}{c} 0.22 \\ \pm \ 0.03 \end{array}$	$23\pm0.1$	$63\pm0.2$	6.46	$7.7\pm0.3$
A10	$\begin{array}{c} 110 \\ \pm \ 13 \end{array}$	$\begin{array}{c} 0.30 \\ \pm \ 0.10 \end{array}$	$30\pm1$	$94\pm0.2$	6.46	$10.2\pm0.5$
A11	$\begin{array}{c} 137 \\ \pm \ 11 \end{array}$	$\begin{array}{c} 0.41 \\ \pm \ 0.05 \end{array}$	$31\pm2$	$69\pm2$	6.53	$6.0\pm1.0$
A12	$89 \pm 5$	$\begin{array}{c} 0.25 \\ \pm \ 0.01 \end{array}$	$41\pm7$	$93\pm1$	6.21	$14.5\pm0.8$
A13	$\begin{array}{c} 148 \\ \pm \ 10 \end{array}$	$\begin{array}{c} 0.33 \\ \pm \ 0.07 \end{array}$	$37\pm6$	$95\pm0.9$	6.45	$11.7\pm0.7$
A14	$\begin{array}{c} 136 \\ \pm 9 \end{array}$	$\begin{array}{c} 0.28 \\ \pm \ 0.02 \end{array}$	$18\pm 4$	$89\pm2$	6.03	$18.9\pm0.5$
A15	$\begin{array}{c} 108 \\ \pm \ 2 \end{array}$	$\begin{array}{c} 0.31 \\ \pm \ 0.03 \end{array}$	$13\pm3$	$31\pm 5$	6.52	$-0.9\pm0.7$
A16	$\begin{array}{c} 142 \\ \pm \ 10 \end{array}$	$\begin{array}{c} 0.31 \\ \pm \ 0.02 \end{array}$	$7\pm4$	$16\pm 6$	6.44	$\textbf{3.2}\pm\textbf{0.9}$

increasing the molar ratio of PEG resulted in decreasing zeta potential measurements (Supplementary Fig. 2). There were no notable trends between zeta potential and the molar ratios of the B-4 ionizable lipid, DOPE, or cholesterol.

#### 3.2. Ex utero LNP stability in mouse amniotic fluid

Nanoparticles can undergo a variety of changes in biological fluids including aggregation [38,46], protein corona formation [47,53], and degradation [38,54] (Fig. 1), all of which impact the in vitro, in vivo, and in utero stability of the drug delivery system. Previous work has studied the stability of lipid-based nanoparticle systems in well-characterized fluids such as serum using DLS [37,46,53]. DLS is a minimal resource, quantitative assay for measuring the size distribution and polydispersity of a nanoparticle sample, and the stability of LNPs following ex vivo incubation in a variety of fluids can be assessed using this technique. For example, more stable LNPs will exhibit smaller size and polydispersity changes upon incubation in fluid [46], therefore facilitating the identification of highly stable and unstable LNPs in each fluid of interest. To determine the DLS incubation parameters for the ex vivo screening of the LNP library, we selected a range of mouse amniotic fluid percentages -0%, 25%, 50%, 75%, and 100% (v/v) - and a range of incubation times -0 min, 5 min, 15 min, 30 min, 60 min, 120 min, and 240 min - for evaluation. We selected two LNPs - A5 and A12 - each with different excipient molar ratios for this preliminary investigation, and utilized mouse amniotic fluid collected from gestational day 16 (E16) fetuses. E16 amniotic fluid is representative of the biological environment in the amniotic sac at the onset of fetal breathing, and was selected as it represents the timeframe during which intra-amniotic gene therapies could be administered to take advantage of fetal inhalation and ingestion of LNPs from the amniotic fluid for lung and digestive tract delivery [5].

Across a range of amniotic fluid percentages, LNP A5 exhibited broadening of the DLS intensity curve along the x-axis as amniotic fluid percentage increased, until ultimately becoming bimodal in 75% amniotic fluid (Fig. 2A). This suggests that the A5 LNP population became more heterogenous in size with increasing polydispersity as the amniotic fluid percentage increased. In contrast, as the amniotic fluid percentage increased, the A12 intensity curves shifted right along the size axis and began overlapping with the intensity curve for 100% mouse amniotic fluid. This suggests that as the mouse amniotic fluid percentage increased, the mean A12 LNP size increased with little change in polydispersity. In general, for both LNPs, there were less substantial effects on size and polydispersity in 25% mouse amniotic fluid. Additionally, this low fluid percentage is less physiologically relevant for applications of intra-amniotic injection of LNPs where the particles would be exposed to 100% amniotic fluid in the sac. Alternatively, in 75% mouse amniotic fluid, both LNPs were unstable with either a very high polydispersity or a large increase in size. Therefore, to ensure resolution between stable and unstable LNPs in each of the fluids of interest, 50% (v/v) amniotic fluid percentage was selected for the subsequent library stability screen.

Previous work has evaluated lipid-based nanoparticle stability following incubation in protein-rich fluid for times comparable to 5 and 15 min [46]. However, results in the present study indicate that size and PDI measurements from these incubation times had large standard deviations and did not represent longer-term LNP stability in mouse amniotic fluid (Fig. 2B). Instead, there were minimal changes in size and PDI measurements for incubation times greater than or equal to 30 min. Therefore, while the 240 min incubation timepoint was selected to represent the maximum LNP residence time in amniotic fluid before evaluation of *in utero* delivery, we selected 30 min as our incubation time as it sufficiently represents longer-term behavior of LNPs in mouse amniotic fluid.

## 3.3. LNP stability in mouse serum and mouse, large animal, and human amniotic fluids

After determining the appropriate incubation parameters above (30 min in 50% (v/v) fluid) using only two LNPs and one fluid of interest, DLS was used to evaluate the *ex vivo* stability of all 16 LNPs in the following five fluids: mouse serum, mouse amniotic fluid, sheep amniotic fluid, pig amniotic fluid, and human amniotic fluid. Mouse serum was selected as one fluid of interest as numerous prior studies have characterized the stability of lipid-based nanoparticle systems in various blood and serum fluids, including human plasma and fetal bovine serum (FBS) [37,46,53]. Additionally, as some of these studies report significant lipid nanoparticle instability at low concentrations (1% or 2% v/v) of FBS [37,46], we hypothesized that mouse serum could serve as a positive control for this screen (Fig. 3A).

Results of the screen were quantified *via* percent change in size and percent change in PDI (both from LNPs in PBS alone) following incubation in fluid. These percent change parameters were selected to take into account the initial size and PDI of LNPs before incubation, as they varied widely across the library (Table 2). Also, these parameters allow for an intuitive understanding of stability; stable LNPs have low percent change in size or PDI measurements following incubation in a given fluid. Therefore, results were presented in a heatmap with the log transforms of the percent change in size and percent change in PDI measurements (Fig. 3B). Log transformation allows a larger range of values to be presented in a color gradated scale, without having very large measurements diminish the resolution present between smaller measurements.

As hypothesized, mouse serum served as a positive control for this library screen since many LNPs performed substantially worse in serum than in other fetal fluids (Fig. 3B). To aid in visualization of the library screen findings, a 2-way ANOVA was performed to define hits, or LNPs in a given fluid whose percent change in size or percent change in PDI measurements were significantly smaller (p < 0.05) than the same LNP



**Fig. 2.** *Ex utero* LNP stability in mouse amniotic fluid. (A) Stability assessment of LNPs A5 ( $34 \text{ ng}/\mu\text{L}$ ) and A12 ( $41 \text{ ng}/\mu\text{L}$ ) in mouse amniotic fluid with varying fluid percentages and incubation times. LNPs A5 and A12 were incubated in five percentages of mouse amniotic fluid – 0%, 25%, 50%, 75%, and 100% (volume mouse amniotic fluid/total volume) – for 30 min. Intensity curves were recorded by DLS for both formulations across fluid percentages to demonstrate size and PDI changes. (B) LNPs A5 and A12 were incubated for seven time points – 0 min, 5 min, 15 min, 30 min, 60 min, 120 min, and 240 min – in 50% (v/v) mouse amniotic fluid. Size and PDI were measured by DLS for both formulations across timepoints. Data are presented as mean  $\pm$  standard deviation of n = 3 to 4 technical replicates for each of three biological replicates.

in mouse serum (Fig. 3C and D). First, for percent change in size measurements (Fig. 3C), there were ten and nine LNP hits in mouse amniotic and human amniotic fluids, respectively, while there were only four LNP hits in pig amniotic fluid. Taken together, these results suggest that more LNPs from the library were stable in mouse and human amniotic fluids than in pig amniotic fluid. However, for percent change in PDI measurements (Fig. 3D), all fluids had only between three and four LNP hits, suggesting that the LNP library performed similarly across all fluids.

Percent change in size measurements identified that ten out of 16 LNPs in the library were a hit in at least one fluid (Fig. 3C). Yet, percent change in PDI measurements identified that only four out of 16 LNPs in the library were a hit in at least one fluid (Fig. 3D). Collectively, these results suggest that there were substantially fewer hits for percent change in PDI measurements than percent change in size measurements when compared to mouse serum. In other words, LNPs in a given fluid are more likely to have significantly smaller percent change in size measurements compared to mouse serum than percent change in PDI measurements. It is important to note that LNPs such as A9 and A16 were not identified as hits in any of the fetal fluids. However, this is likely because these LNPs appeared to be stable in mouse serum, as they exhibited low percent change in size and PDI measurements. Therefore, no significant improvements compared to mouse serum in any of the fetal fluids could be identified. While these results are intriguing, LNPs A9 and A16 also had low encapsulation efficiencies ( $\leq$  75%), therefore limiting their application for mRNA delivery and future exploration in this study.

The majority of LNPs presented both substantial percent change in size and percent change in PDI measurements following incubation in fluid. However, some LNPs appeared to demonstrate mainly high percent change in size measurements (A8), while others presented mainly high percent change in PDI measurements (A9) (Fig. 3B). Therefore, we rationalized that both parameters should be considered when evaluating overall LNP stability. To determine the most and least stable LNP in each of the fluids, we averaged both stability measurements – percent change in size and percent change in PDI – for each LNP in the library to determine an overall lowest and highest LNP instability parameter, respectively. The top LNPs in each amniotic fluid evaluated were as follows: A12 for mouse, A14 for pig, and A16 for sheep and human amniotic fluid. A12 and A14 LNPs had several commonalities: a moderate to high molar ratio of B-4 ionizable lipid (35–45), a low molar ratio of cholesterol (20–35), and a low molar ratio of lipid-PEG (0.5) compared to traditional LNP formulations for mRNA delivery. However, as mentioned above, LNP A16 had an encapsulation efficiency of less than 75%, so this formulation likely would require further optimization for sheep and human intra-amniotic delivery.

Representative DLS intensity curves (Supplementary Fig. 3) of the most stable LNPs often showed little to no size or PDI change in the presence of amniotic fluid compared to the intensity curve of LNPs in PBS alone. Instead, intensity curves of the least stable LNPs in each of the fluids showed increased PDI, bimodal behavior, and substantial size increases as curves shifted right and sometimes completely overlapped with the intensity curve of the amniotic fluid background.

#### 3.4. Stability correlations in amniotic fluid across species

Next, we sought to identify any correlations between LNP stability in amniotic fetal fluids across species using the above defined instability parameter (Fig. 4). First, LNP instability parameters in mouse and pig amniotic fluids only mildly correlated with those in human amniotic fluid ( $R^2 = 0.2314$  and  $R^2 = 0.2868$ , respectively). However, there was a



**Fig. 3.** LNP library stability in mouse serum and amniotic fluids. (A) Schematic depicting LNP library screen using DLS where percent change in LNP size or PDI in each amniotic fluid is calculated from the LNP size or PDI in PBS alone. These percent change measurements are compared to those in mouse serum as a positive control to identify hits. (B) Heatmaps depicting log transforms of LNP percent change in size and percent change in PDI (from PBS) in each fluid. Red – darker colors represent larger percent changes in size from LNPs in PBS alone. Blue – darker colors represent larger percent changes in PDI from LNPs in PBS alone. (C and D) 2-way ANOVA results indicating hits across amniotic fluids and formulations for percent change in size or PDI measurement than the LNP in mouse serum as determined from 2-way ANOVA. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

moderate correlation of the instability parameter measurements between sheep amniotic and human amniotic fluids ( $R^2 = 0.7099$ ). In terms of stability, these results suggest that LNPs performed most similarly in our sample of sheep amniotic fluid as they did in human amniotic fluid, more so than in our samples of mouse and pig amniotic fluids. Next, LNP instability parameters in pig and sheep amniotic fluids had little to no correlation with those in mouse amniotic fluid ( $R^2 =$ 0.0423 and  $R^2 = 0.1450$ , respectively). These results suggest that mouse amniotic LNP stability may not accurately correlate with stability in our amniotic fluid samples of larger species, specifically pig and sheep. Taken together, these results demonstrate differences in LNP stability in amniotic fluids between small animal models and large animal models or humans, perhaps due to gestational age differences at the time of amniotic fluid collection and total length of gestational periods. Finally, fluids used in this screen were characterized in terms of their pH and protein concentration (Supplementary Table 1). Specifically, the pH of the four amniotic fluids and mouse serum ranged from 7.58 to 8.45. Also, protein concentration in the amniotic fluids ranged from 140 to 515 ng/ $\mu$ L, while the protein concentration in mouse serum was 1507 ng/ $\mu$ L. This is consistent with previous work which found total protein



**Fig. 4.** LNP instability parameter correlations for amniotic fluids across species. (A) Instability parameter measurements of the LNP library in mouse, sheep, and pig amniotic fluids (y axis) correlated with human amniotic fluid (x axis). (B) Instability parameter measurements of the LNP library in sheep and pig amniotic fluids (y axis) correlated with mouse amniotic fluid (x axis). The coefficients of determination  $R^2$  of the least squares linear regressions indicate the goodness of fit for the instability parameter correlations.

concentration in human amniotic fluid to be up to 12.5-fold lower than in human serum [55]. While LNPs were generally less stable in mouse serum, there were no notable trends between stability measurements of the LNP library and either fluid pH or protein concentration.

#### 3.5. Effect of Ionizable lipid on LNP stability

To evaluate the generalizable nature of this *ex vivo* stability assay across different ionizable lipids, we looked at the effect of changing the ionizable lipid in an LNP formulation on stability measurements. To this end, we selected excipient formulation A5 and replaced the B-4 ionizable lipid with C12–200, a well characterized ionizable lipid for mRNA delivery [40,56,57]. There were no significant (\*p < 0.05) differences in the measured size of the B-4 and C12–200 LNPs in any of the fluids evaluated (Supplementary Fig. 4A). However, in two of the amniotic fluids evaluated, the C12–200 LNP had significantly (\*p < 0.05 and \*\*\*p < 0.001) higher PDI measurements than the B-4 LNP (Supplementary Fig. 4B). We hypothesize this difference was due to the significantly higher initial PDI of the C12–200 LNP in PBS alone compared to the B-4 formulation also in PBS. These results suggest the reproducibility of *ex vivo* stability measurements for formulations with different ionizable lipids.

#### 3.6. LNP morphology and zeta potential effects in mouse amniotic fluid

To visualize LNP morphological changes following incubation in mouse amniotic fluid, transmission electron microscopy (TEM) was used to visualize the morphology of the most stable (A12) and least stable (A1) LNPs from the above *ex utero* stability screen in mouse amniotic fluid. As stated above, the most and least stable LNPs in mouse amniotic fluid were determined by averaging percent change in size and percent change in PDI stability measurements of each formulation in the library for an overall lowest and highest instability parameter, respectively. First, TEM images of LNPs A12 and A1 in PBS showed primarily spherical and monodisperse particles (Fig. 5A). Particle analysis of TEM images indicated A12 had a mean size of 97  $\pm$  17 nm and A1 had a mean size of 71  $\pm$  12 nm. Upon incubation in mouse amniotic fluid, TEM images of the most stable LNP (A12) showed little shape or size changes. However, the least stable LNP (A1) showed substantial aggregation and clustering in mouse amniotic fluid. These qualitative morphological changes are confirmed with TEM image particle analysis where the LNP size was 118  $\pm$  43 nm for A12 and 176  $\pm$  110 nm for A1 following incubation in mouse amniotic fluid.

To further characterize LNP-protein effects following incubation in mouse amniotic fluid, we measured the zeta potential of LNPs A12 and A1 following incubation in increasing fluid percentages of mouse amniotic fluid (Fig. 5B). Previous findings report that zeta potential measurements became more negative as NPs were incubated in increasing concentrations of protein-rich fluid [58]. Here, both LNPs A12 and A1 alone had positive zeta potential measurements that immediately became negative upon addition of mouse amniotic fluid. The zeta potential measurements became increasingly more negative as fluid percentage increased, as is consistent with previous findings, due to what we hypothesize is increased protein adhesion to the particle.

## 3.7. Chromatography and protein quantification of LNPs in mouse amniotic fluid

As mouse serum and the amniotic fluids evaluated in this study are protein-rich biological environments, we sought to identify the presence of bound proteins on LNPs A12 and A1 following incubation in mouse amniotic fluid. To do so, we expanded on a previously reported methodology of Sepharose column separation to isolate LNPs from unbound protein-rich fluid [47]. Using this protocol, free mouse amniotic fluid and LNPs A12 and A1 pre-incubated in mouse amniotic fluid were each individually passed through a Sepharose column (Fig. 5C). 32 chromatographic fractions were collected for each of the three samples, and the protein concentration of each fraction was measured using Tecan's



**Fig. 5.** LNP morphology and protein interactions in mouse amniotic fluid. (A) TEM images of the most stable (A12) and least stable (A1) LNPs from the *ex utero* mouse amniotic fluid screen. (B) Zeta potential of A12 and A1 LNPs with increasing percentages (v/v) of mouse amniotic fluid. (C) BCA assay identifying protein content bound to LNPs following LNP incubation in mouse amniotic fluid and chromatographic separation of LNPs from unbound mouse amniotic fluid. Data is presented as means with standard deviations of n = 3 to 4 measurements.

NanoQuant Plate. Plots of protein concentration as a function of chromatographic fraction indicate the presence of two peaks for LNP samples. We hypothesize that the first peaks represent LNP aggregates which would be larger in size and elute from the separation column first before smaller LNPs with bound proteins on their surfaces, representing the second peaks detected in the chromatographic fractions.

Fractions with non-zero protein readings and no overlap with the elution of free mouse amniotic fluid were pooled and used to measure protein content *via* BCA assay. The BCA assay indicated significant (\*\*\*p < 0.0002) protein content on LNPs A12 and A1 that were preincubated in mouse amniotic fluid compared to the same LNPs in PBS alone. Interestingly, the most stable LNP (A12) from the *ex utero* mouse amniotic stability screen had significantly (\*\*\*p < 0.0021) higher protein content bound to the surface than the least stable (A1) LNP from the stability screen. This assay confirms that proteins derived from mouse amniotic fluid are bound to LNPs A12 and A1 following incubation, and we hypothesize that these LNP-protein interactions likely contribute to the previous stability findings.

#### 3.8. In vitro LNP-mediated mRNA delivery

To establish trends between LNP stability and mRNA delivery, we evaluated LNP-mediated luciferase mRNA delivery and toxicity of the library *in vitro* in HeLa cells (Fig. 6). HeLa cells were selected for this *in vitro* library screen as epithelial cells are found in several major organ targets for intra-amniotic injection of LNPs, including the skin, pulmonary and digestive tract organs. Treatment conditions included LNPs alone and LNPs pre-incubated in mouse amniotic fluid. HeLa cells were dosed with LNPs or lipofectamine at a concentration of 50 ng per 10,000 cells. Lipofectamine is a commonly used transfection agent and is often



**Fig. 6.** *In vitro* LNP-mediated luciferase mRNA delivery. (A) LNP-mediated luciferase mRNA delivery in HeLa cells. Cells were treated with the 16 LNP library in PBS alone or pre-incubated in mouse amniotic fluid. Luciferase expression for each treatment condition was normalized to untreated cells and compared to lipofectamine. MessengerMAX delivery using 2-way ANOVA for significance. Seven LNPs with mouse amniotic fluid had significant (\*p < 0.05) delivery compared to lipofectamine. Only LNP A7 had significantly different delivery with mouse amniotic fluid compared to the same formulation in PBS alone. (B) Cell viability following treatment with the LNP library in PBS alone or pre-incubated in mouse amniotic fluid. LNPs A1, A7, and A8 had significantly (\*p < 0.05) lower cell viability compared to lipofectamine. LNPs A7, A13, and A14 had significantly better cell viability after pre-incubation in mouse amniotic fluid compared to the same formulation in PBS alone. (C and D) Inverse correlation between luciferase expression and percent change in size (C) and percent change in PDI (D) in mouse amniotic fluid. Particles with encapsulation efficiencies  $\leq 75\%$  excluded from correlation. (E) LNP-mediated luciferase mRNA delivery in primary mouse fetal lung fibroblasts with stable LNP A12 and unstable LNP A4. LNP A12 had significantly higher luciferase expression (\*p < 0.05 via Welch's t-test) at all doses compared to LNP A4. (F) Cell viability in primary mouse fetal lung fibroblasts with LNP A4. No significant differences in cell viability between LNPs at any of the tested doses.

considered the gold standard for *in vitro* nucleic acid delivery [59,60]. To evaluate LNP delivery, 24 h after treatment, luciferase expression was quantified using bioluminescence measurements (Fig. 6A). Seven LNPs – A2, A3, A4, A7, A8, A12, and A14 – with mouse amniotic fluid had significantly (\*p < 0.05) higher luciferase expression than lipofectamine. For 15 of 16 LNPs in the library, there were no significant differences in luciferase expression between the LNP alone and the LNP

with mouse amniotic fluid treatment conditions, except for A7 which demonstrated significantly (\*p < 0.05) better delivery in the presence of mouse amniotic fluid. Percent cell viability was also evaluated 24 h following treatment with LNPs or lipofectamine. LNPs A1, A7, and A8 with mouse amniotic fluid had significantly lower cell viability compared to lipofectamine (Fig. 6B). Notably, three LNPs – A7, A13, and A14 – had significantly better cell viability in the presence of mouse

amniotic fluid than in PBS alone.

As expected, luciferase expression demonstrated a strong correlation with encapsulation efficiency, as LNPs with less than or equal to 75% encapsulation had little to no delivery (Supplementary Fig. 5). Focusing on LNPs with encapsulation efficiencies greater than 75%, we assessed correlations between luciferase expression and percent change in size or percent change in PDI *ex utero* stability measurements in mouse amniotic fluid (Fig. 6C and D). We noted a general inverse correlation between luciferase expression and both percent change in size and percent change in PDI. A12, the most stable LNP in mouse amniotic fluid, had the highest luciferase expression of all LNPs evaluated in the library. These results demonstrate the ability of the stability measurements to predict top *in vitro* performers such as LNPs A12 or A14. Unlike these stability measurements, there were no clear correlations between zeta potential measurements and *in vitro* luciferase mRNA delivery of the LNP library (Supplementary Fig. 6).

To validate these in vitro results in primary cells, we isolated lungs from fetuses removed from time-dated pregnant mice at gestational age E16. Single cell suspensions were created from these fetal lung tissues and seeded in a well plate for LNP-mediated luciferase mRNA delivery and cell viability. LNP A12 - the most stable LNP in amniotic fluid - and LNP A4 - with substantially lower stability and in vitro mRNA delivery in HeLa cells - were used to treat cells at doses of 10, 25, 50, and 100 ng of mRNA per 20,000 cells. At all four doses, LNP A12 had significantly (\*p < 0.05) higher luciferase expression than LNP A4 (Fig. 6E). This is consistent with the results in HeLa cells where LNP A12 demonstrated approximately two to three-fold higher delivery than LNP A4. Primary fetal lung fibroblasts showed a slight decrease in cell viability after treatment with LNP A12 at increasing doses, likely due to the high luciferase expression at the 100 ng dose and increased fragility of the primary cells compared to the immortalized HeLa cells (Fig. 6F). These results further demonstrate the potential for enhanced mRNA delivery with stable LNPs such as A12 over unstable LNPs such as A4.

### 3.9. LNP structure function relationships with ex utero stability and in vitro delivery

As the LNP library was designed with four molar ratio levels of each of four excipients, we sought to investigate LNP structure function relationships with ex utero stability measurements and in vitro delivery (Fig. 7). For ionizable lipid B-4, we found that percent change in size and percent change in PDI decreased as molar ratio increased, yet there was no noticeable trend for delivery (Fig. 7A). For both DOPE and cholesterol, percent change in PDI decreased and luciferase expression increased as the excipient molar ratio increased (Fig. 7B and C). If our stability measurements are accurate predictors of mRNA delivery, we would expect trends such as these where percent change in size and percent change in PDI stability measurements should get smaller as delivery improves. Finally, for PEG, percent change in PDI increased and luciferase expression decreased as the molar ratio of PEG increased (Fig. 7D). Again, we notice an inverse trend between our percent change in PDI stability measurements and luciferase delivery. As it appears that the percent change in PDI measurements track as expected with luciferase delivery, these results suggest that the PDI stability measurements might better predict in vitro delivery than percent change in size measurements. Additionally, these results help identify certain molar ratios (10 for DOPE, 5 for cholesterol, 12.5 for PEG) that play a role in LNP instability as seen by high percent change in PDI measurements and low luciferase delivery.

#### 3.10. LNP mediated intra-amniotic luciferase mRNA delivery

Two LNPs were selected to evaluate the correlation between *ex utero* stability measurements in mouse amniotic fluid and intra-amniotic luciferase mRNA delivery to E16 fetal mice. Gestational age E16 fetuses were selected for intra-amniotic injection as E16 amniotic fluid was used in the previous *ex utero* stability measurements. Additionally, gestational age E16 represents the biological environment at the onset of fetal breathing for inhalation and ingestion of LNPs from amniotic fluid



Fig. 7. LNP structure function relationships with *ex utero* stability and *in vitro* delivery. Each data point represents an average of the stability or luciferase expression measurements of the four LNPs with the given excipient molar ratio. (A) Percent change in size and PDI decrease as ionizable lipid B-4 increases. (B) & (C) Percent change in PDI decreases and luciferase expression increases as the molar ratio of DOPE and cholesterol increases. (D) Percent change in PDI increases and luciferase expression decreases as the molar ratio of PEG increases.

[5]. LNP A12 was selected as it was the most stable LNP in mouse amniotic fluid and had the highest *in vitro* luciferase mRNA delivery. LNP A4 was selected for its poorer *ex utero* stability in mouse amniotic fluid and lower *in vitro* delivery, while still having a suitable encapsulation efficiency of mRNA. Other formulations such as A1 and A9 were less

stable in mouse amniotic fluid, yet their encapsulation efficiencies are less than 75% and would not allow for accurate comparisons of *in utero* mRNA delivery with LNP A12.

LNPs A12 and A4 were concentrated to 325 ng/ $\mu L$  and 30  $\mu L$  of LNP or PBS was injected into five individual fetal amniotic sacs of E16



**Fig. 8.** LNP-mediated intra-amniotic luciferase mRNA delivery. Two LNPs – A12 and A4 – were selected to evaluate *in utero* luciferase mRNA delivery. (A) Schematic of intra-amniotic injection. (B) Left - IVIS image of dam and exposed uterine horn with pups in the four left sacs receiving PBS control and pups in the five right sacs receiving A4 LNP injections. Right - strong luciferase expression in the uterine horn where pups received A12 LNP injections, other than one sac (denoted with white arrow) that instead received PBS as a control injection. (C) IVIS images (left) and quantification (right) of fetal bioluminescence after surgical removal from the dams. IVIS images indicate variability in luciferase expression for the A12 LNP condition, with the luciferase expression from one fetus identified as an outlier (denoted with an X) and removed from analysis. A12 LNP had significantly higher fetal luciferase expression, as quantified by normalized total flux, compared to both A4 LNP and PBS control injections. (D) IVIS images (left) of the highest luciferase expression in each organ for all conditions. Quantification (right) of fetal organ bioluminescence following dissection. There was no significant difference in the normalized total flux for A12 LNP compared to A4 LNP or PBS control across four organs shown.

pregnant dams for each test condition (Fig. 8A). Four hours after injection, luciferin was administered to the dams and IVIS imaging was used to quantify luciferase expression. IVIS images of the dams and exposed uterine horns showed no luciferase delivery for sacs receiving PBS and A4 injections (Fig. 8B). In contrast, there was clear luminescence in sacs receiving A12 injections. Fetuses were removed and individually assessed by IVIS imaging. When quantified and averaged, fetal bioluminescence was significantly higher (\*p < 0.05) for fetuses receiving LNP A12 injections compared to both LNP A4 and PBS injections (Fig. 8C). There was no significant delivery of the A4 LNP compared to PBS control.

Finally, fetal organs including the lung, intestine, liver, and brain were isolated and assessed by IVIS for bioluminescence. Fetuses undergoing intra-amniotic injection with LNP A12 demonstrated luminescence in the lung and intestines as well as the liver, consistent with fetal swallowing and inhalation of the amniotic fluid containing LNP A12. In contrast, no luminescence was detected in any organs of those fetuses in which LNP A4 or PBS was injected into the amniotic sac. (Fig. 8D). Overall, this data demonstrates proof-of-concept that our *ex utero* stability measurements in mouse amniotic fluid correlate with *in utero* intra-amniotic luciferase mRNA delivery.

#### 4. Discussion

In the present work, we explored ex utero LNP stability in various fetal fluid biological environments and demonstrated correlations between stability measurements and LNP-mediated in vitro and in utero luciferase mRNA delivery. By using DLS and measured changes in LNP size and PDI following incubation in fluid, this stability assay requires minimal LNP and fluid resources. As a result, a larger number of formulations could be evaluated using this ex vivo approach than in other labor intensive and expensive in vivo screening experiments. For example, here, the ex vivo screening of our library of 16 LNPs identified excipient formulations in mouse, sheep, pig, and human amniotic fluids that were highly stable. Interestingly, LNPs behaved differently in each of these amniotic fluids. This could be explained by differences in the gestational periods of each of these species; the gestational periods of these species range from 20 to 280 days for mice and humans, respectively. Therefore, substantial developmental changes that alter amniotic fluid composition may occur over the span of hours in mice and over the span of days or weeks in larger species such as humans. These results justify the need to optimize LNP formulations for gestational age and species-specific delivery. Future ex vivo screening could include design of a second generation library for each of the amniotic fluids of interest to further assess LNP stability across gestational age.

Library screening and establishing structure function relationships between LNP formulation and delivery are increasingly valuable as the number of possible formulations continues to grow with research on new modular LNP components. For example, recent work has shown that varying the molar ratio of cationic lipids such as DOTAP in LNP formulations can shift organ biodistribution in an effort to improve delivery to a target of interest [36]. Previous work has also demonstrated that different phospholipids such as DOPE and DSPC, or different ratios of lipid to nucleic acid cargo can improve encapsulation and delivery of one or multiple nucleic acids [35]. While we have demonstrated the reproducible nature of this assay with different ionizable lipids, we hypothesize that many of these modular changes in LNP formulation could impact *ex vivo* LNP stability, helping to further the understanding of LNP structure function relationships.

Like in this present study, mice are often used to evaluate *in utero* therapeutic delivery due to their short gestational period (approximately 20 days) and ability to simultaneously carry multiple fetuses per dam [61]. However, the small size of the mouse fetus presents technical challenges with respect to evaluating delivery approaches that would be possible in humans. For example, direct intra-tracheal or intra-esophageal injections of LNPs may be optimal delivery routes to target

the lungs and gastrointestinal tract in humans, respectively [18]. However, intra-amniotic injections have been shown by various groups to have more established safety profiles - including their safety for both fetus and dam - in mouse models [5,15,16]. Additionally, amniocentesis is a similar procedure to intra-amniotic injections that is performed regularly in the clinic for sampling human amniotic fluid. When considering these unique injection routes, preclinical, large animal models may provide valuable information. These larger animal models, including time-dated pregnant pigs and sheep, are labor and cost prohibitive and are only used for well-characterized and clinically translatable technologies, therefore limiting in vivo LNP optimization in these species. However, the longer gestational period of sheep (approximately 145 days) in contrast to that of the mouse (approximately 20 days) more closely mimics the development period of the human fetus. This may be one explanation for the stronger correlation of LNP stability measurements in sheep and human amniotic fluids in contrast to mouse amniotic fluid. To combat the challenges associated with differences between small and large animal models, similar ex utero stability screening as performed in this study could enable identification of novel LNP formulations specifically for larger species using unique biological environments such as fetal amniotic fluid.

Beyond using DLS to characterize size and PDI changes upon incubation in fluid, we sought to characterize morphological and protein effects to further understand *ex utero* LNP stability. Upon incubation in mouse amniotic fluid, the least stable LNP (A1) from the *ex utero* mouse amniotic fluid stability screen exhibited substantial morphological changes, including aggregation and increased size. Instead, the most stable LNP (A12) in mouse amniotic fluid presented little morphological changes, with only a small increase in size. These morphological differences visualized in TEM images confirmed what we found in the *ex utero* stability screen where more stable LNPs had little size or PDI changes upon incubation in fluids, while less stable particles exhibited substantial size and PDI changes compared to LNPs in PBS alone.

Next, we hypothesized these differences in stability might be due to differences in the amount of bound protein on the LNP surface, as the fluids we evaluated in this study are protein-rich biological environments. However, opposite of what we hypothesized, the more stable LNP in mouse amniotic fluid (A12) had significantly higher protein content bound to the surface than the least stable LNP (A1) from the ex utero stability screen. This finding suggests some LNP structure function relationship that makes certain formulations better suited to resist conformational changes in protein rich biological environments. We hypothesize that these findings could be due to different types of protein coronas that form on the surface of nanoparticles in biological fluids. In general, protein coronas are considered to be the sum of all proteins that adsorb on the surface of nanoparticles such as LNPs when they come in contact with a protein-rich biological environment such as serum or amniotic fluid [38]. Types of protein coronas include "hard" coronas which represent proteins that bind directly to the LNP surface with high affinity, while "soft" coronas are considered a looser, more dynamic protein layer that interacts more freely with the biological environment [38]. Less stable LNPs are potentially forming primarily hard protein coronas that more substantially impact LNP conformation and the resulting size and PDI measurements using DLS. Instead, more stable LNPs may be forming primarily soft protein coronas with reversibly bound proteins, consequently resulting in less substantial conformational changes as measured by size and PDI measurements [62]. Future work could further characterize the formation of these fetal fluid protein coronas, including identifying specific proteins, their relative quantities, and correlations between DLS stability measurements and protein corona formation. For example, previous work has demonstrated differences in the proteome of human amniotic fluid versus serum and noted that proteins commonly found in serum such as albumin, globulin, lipoprotein, and apoproteins are found in significantly lower quantities in human amniotic fluid than in serum [55,63]. Therefore, a robust proteomic analysis of a variety of LNPs in amniotic fluid could provide valuable insights compared to those for serum and blood.

Besides LNP stability, the biological environment can also impact *in vitro* delivery. Previously, it has been proposed that protein coronas can have paradoxical effects on *in vitro* cellular uptake and LNP delivery [62]. For example, while proteins on the LNP surface can trigger and enhance cellular uptake, specifically *via* protein-receptor interactions, proteins bound to the LNP surface can also decrease LNP adhesion to the cell membrane due to surface free-energy limitations, therefore decreasing LNP uptake [62]. Here we found no significant difference in LNP mediated luciferase mRNA delivery for 15 of the 16 LNPs in the presence of mouse amniotic fluid compared to each LNP in PBS alone. Interestingly though, we did identify improved cell viability for three LNPs in the presence of mouse amniotic fluid compared to LNPs in PBS alone. Perhaps the presence of bound proteins on the LNP surface reduces some of cellular toxicity associated with the LNP itself.

We evaluated structure function relationships between LNP excipient molar ratios and their ex utero stability and in vitro luciferase mRNA delivery in mouse amniotic fluid. These relationships indicated that the percent change in PDI stability measurements more closely tracked as expected with mRNA delivery than percent change in size stability measurements. In other words, LNP percent change in PDI measurements and LNP-mediated luciferase mRNA delivery were inversely related as expected. As changes in PDI are representative of changes in size distribution, it is likely that large PDIs indicate the presence of both large LNP aggregates and small broken down LNPs due to high protein content on the LNP surface. Perhaps, these LNP distribution changes are more indicative of functional delivery in a protein-rich environment than increases in LNP size. Additionally, the inverse relationship between percent change in PDI measurements and mRNA delivery was especially strong when observing variations in the molar ratio of PEG. This is an interesting observation, as PEG is often included in LNP formulations to reduce immune system recognition and rapid clearance that is often initiated by protein adhesion to the LNP surface [50]. However, we found that increased PEG appears to be detrimental to LNP stability and functional delivery in mouse amniotic fluid. Also, the most (A12) and least (A1) stable LNPs in mouse amniotic fluid both had a PEG molar ratio of 0.5. Yet, the formulations differed in terms of the other three lipid components - the more stable LNP had higher molar ratios of ionizable lipid, DOPE, and cholesterol than the least stable LNP. These results suggest that the ionizable lipid, DOPE, and cholesterol which aid in membrane formation, cargo complexation, and rigidity likely play an essential role in the stability of LNPs in biological environments.

Finally, intra-amniotic delivery of a highly stable and less stable LNP from the ex utero mouse amniotic stability screen demonstrated significantly increased in utero luciferase mRNA delivery for the more stable LNP compared to the less stable LNP. As hypothesized based on the timing of injection during mouse development when fetal breathing and swallowing movements are active, intra-amniotic injected LNPs demonstrated some signal in the intestine and lung. We also observed LNP signal in the fetal liver, likely due to the soft nature of LNPs and their ability to escape the fetal lung and intestinal tissue and enter circulation. These results are consistent with previous work in adult mouse models where lipid-based nanoparticles are found in the liver following inhalation [64,65]. Additional bioluminescent signal in the fetal images could represent some LNP-mediated luciferase mRNA delivery to fetal membranes within the amniotic sac or the fetal skin. We also noted some luciferase expression variability among the fetuses receiving the most stable LNP treatment which justifies future investigation in alternative delivery routes such as intra-tracheal injections which are likely to be more translatable in the clinic. Ultimately, these in vivo results demonstrate the ability of ex utero LNP stability to predict LNP mediated in utero luciferase mRNA delivery.

In conclusion, here we have explored *ex utero* LNP stability in a series of amniotic fluids to identify highly stable LNP formulations for intraamniotic *in utero* mRNA delivery. Future work will focus on second generation LNP libraries to further optimize formulations for the nonviral treatment of congenital diseases *in utero*, or explored with other protein-rich biological fluids for various organ and disease target applications. Overall, this proof-of-concept study demonstrates correlations between *ex utero* stability measurements and *in utero* luciferase mRNA delivery, therefore indicating the potential of similar stability measurements to identify lead LNP formulations for prenatal gene therapy technologies.

#### Data availability

The data that support the findings of this report are available upon reasonable request to the corresponding authors.

#### **Declaration of Competing Interest**

D.W. is an inventor on several patents related to this work filed by the Trustees of the University of Pennsylvania (11/990,646; 13/ 585,517; 13/839,023; 13/839,155; 14/456,302; 15/339,363; 16/ 299,202). K.L.S., M.M.B., W.H.P., and M.J.M. are inventors on a patent related to this work filed by the Trustees of the University of Pennsylvania (63/229,168). M.J.M. is an inventor on a patent related to this work filed by the Trustees of the University of Pennsylvania (PCT/US20/ 56252). The authors declare that they have no other competing interests.

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#### Appendix A. Supplementary data

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